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PATENT
Docket No. 3788-7000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND
APPLICATION FEE TRANSMITTAL (1.53(b))

Assistant Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1c519 U.S. PTO
09/397008
09/15/99

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s): Lawrence M. AUSUBEL

Inventor(s) Address: 2920 Garfield Terrace, N.W., Washington, D.C. 20008

For: ASCENDING BID AUCTION FOR MULTIPLE OBJECTS

18 Page(s) of Specification

1 Page(s) of Abstract

17 Page(s) of Claims

7 Sheet(s) of Drawing
 Formal Informal

2 Page(s) of Declaration and Power of Attorney

- Unassigned
- Newly Executed
- Copy from prior application

Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2).

Copy of Revocation

Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

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- Microfiche Computer Program (Appendix)
- Page(s) of Sequence Listing
- Computer readable disk containing Sequence Listing
- Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same
- Claim for Priority -
- Certified copy of Priority Document(s)
- English translation documents
- Preliminary Amendment
- Return receipt postcard (MPEP 503)
- Assignment papers (assignment cover sheet and assignment documents)
- A check in the amount of \$40.00 for recording the Assignment
- Assignment papers filed in parent application serial no.
- Certification of chain of title pursuant to 37 C.F.R. §3.73(b)
- This is: Continuation
- divisional
- continuation-in-part (C-I-P) of prior application serial no. 08/582,901
- Cancel in this application original claims 1-25, 31-42, and 47^{EE} of the parent application before calculating the filing fees. (At least one original independent claim must be retained for filing purposes.
- A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- The status of the parent application is as follows:
- A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until September 2, 1999.
- A copy of the Petition for Extension of Time in the co-pending parent application is attached.

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No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.

Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

Transfer the drawing(s) from the patent application to this application

Amend The specification by inserting before the first line the sentence:

--This is a Continuation Divisional Continuation-in-part of co-pending application Serial No. 08/582,901 filed January 4, 1996.--

CALCULATION OF APPLICATION:

| | Number Filed | Number Extra | Rate | Basic Fee \$380.00 |
|----------------------------|------------------------------|----------------|----------|------------------------|
| Total Claims: | 38-20 | 18 | X 9.00 | \$162 |
| Independent Claims: | 6- 3 | 3 | X 39.00 | \$117 |
| Multiple Dependent Claims: | | | | |
| | <input type="checkbox"/> Yes | Additional fee | \$260.00 | \$0.00 |
| | <input type="checkbox"/> No | Additional fee | | |
| | | | | Total: <u>\$659.00</u> |

A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 CFR \$1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. \$1.9(f) (50% of total) paid herewith \$_____.

A check in the amount of \$_____ in payment of the application filings fees is attached.

Charge Fee(s) to Deposit Account No. 13-4503. Order

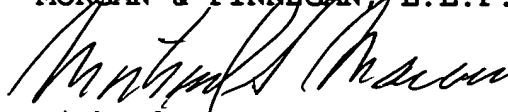
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"BEST COPY"

No. 3788-7000US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4503. Order No. 3788-7000 US1.
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.



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Date:

Sept. 15, 1999

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